

INSTITUTIONS

2.1 SA Companies

- 2.1.1 Certificate of Incorporation (CM1)
- 2.1.2 Notice of registered Office and Postal Address (CM22) containing registrars stamp and signed by the Company Secretary
- 2.1.3 List of Directors (CM29)
- 2.1.4 Certificate of name change (CM9) if applicable
- 2.1.5 Copy of document(s) issued by SARS bearing income tax and VAT registration numbers
- 2.1.6 Resolution by the board of directors authorising representative(s) to act on behalf of the company
- 2.1.7 Document (less than 3 months old) showing residential address (e.g. utility bill, bank statement, tax invoice, Telkom account, payslip or municipal rates) for all authorised representatives
- 2.1.8 Certified copy of a valid ID document/passport as set out in 1.1.1 and 1.2.1 respectively, iro the manager, all authorised representatives and all individuals holding 25% or more of the voting rights at a general meeting.
- 2.1.9 Applicable documentation refer to in 2.1.1 / 2.1.2 or 2.2.1 / 2.2.2 or 2.3.1 / 2.3.2 iro institutions holding 25% or more of the voting rights at a general meeting.
- 2.1.10 Cancelled cheque or bank statement (less than 3 months old).

2.2 SA Close Corporations

- 2.2.1 Founding Statement and Certificate of Incorporation (CK1)
- 2.2.2 Amended Founding Statement (CK2) if applicable, containing registrars stamp and signed by authorised representative/employee.
- 2.2.3 Document (less than 3 months old) containing trade name and business address (e.g. utility bill, bank statement, tax invoice, Telkom account, municipal rates).
- 2.2.4 Resolution by the board of members authorising representative(s) to act on behalf of the CC
- 2.2.5 Certified copy of a valid ID document/passport as set out in 1.1.1 and 1.2.1 respectively, iro each member and all authorised representatives.
- 2.2.6 Document (less than 3 months old) showing residential address (e.g. utility bill, bank statement, tax invoice, Telkom account, payslip or municipal rates) for all authorised representatives
- 2.2.7 Cancelled cheque or bank statement (less than 3 months old).

2.3 Foreign Companies

- 2.3.1 Official document from foreign regulators witnessing incorporation, bearing the name, number and the address.
- 2.3.2 Document (less than 3 months old) containing trade name and business address (e.g. utility bill, bank statement, tax invoice, Telkom account, payslip or municipal rates).
- 2.3.3 Certified copy of a valid ID document/passport as set out in 1.1.1 and 1.2.1 respectively, iro the manager, all authorised representatives, all individuals holding 25% or more of the voting rights at a general meeting.
- 2.3.4 Resolution by the board of members authorising representative(s) to act on behalf of the company.
- 2.3.5 Applicable documentation refer to in 2.1.1 / 2.1.2 or 2.2.1 / 2.2.2 or 2.3.1 / 2.3.2 iro institutions holding 25% or more of the voting rights at a general meeting.
- 2.3.6 Cancelled cheque or bank statement (less than 3 months old).

2.4 Other Legal Persons (i.e. club, association, body corporate)

- 2.4.1 Constitution or other founding documents in terms of which legal person is created.
- 2.4.2 Certified copy of a valid ID document/passport as set out in 1.1.1 and 1.2.1 respectively iro each member and all authorised representatives.
- 2.4.3 Resolution by the authorised body authorising representative(s) to act on behalf of the legal person
- 2.4.4 Document (less than 3 months old) showing residential address (e.g. utility bill, bank statement, tax invoice, Telkom account, payslip or municipal rates) for all authorised representatives.
- 2.4.5 Cancelled cheque or bank statement (less than 3 months old).

2.5 Partnership

- 2.5.1 Partnership agreement.
- 2.5.2 Certified copy of a valid ID document/passport as set out in 1.1.1 and 1.2.1 respectively, iro to any individual partners, an individual exercising executive control and all authorised representatives.
- 2.5.3 Resolution by the partners authorising a representative(s) to act on behalf of the partnership.
- 2.5.4 Document (less than 3 months old) showing residential address (e.g. utility bill, bank statement, tax invoice, Telkom account, payslip or municipal rates) for all authorised representatives
- 2.5.5 Applicable documentation refer to in 2.1.1 / 2.1.2 or 2.2.1 / 2.2.2 or 2.3.1 / 2.3.2 iro any institutional partners or an institutional exercising executive control.
- 2.5.6 Cancelled cheque or bank statement (less than 3 months old).

2.6 Retirement or Provident Fund

- 2.6.1 FSB number and proof of registration
- 2.6.2 Documentation/resolution authorising person(s) to act on behalf of retirement fund

2.7 Trust

- 2.7.1 The trust deed or other founding document (ie a will). Resolution authorising investment and person to act on behalf of the trust;
- 2.7.2 Letters of authority from Master (SA trust) or foreign regulator (foreign trust) to trustees.
- 2.7.3 Certified copy of a valid ID document/passport as set out in 1.1.1 and 1.2.1 respectively, iro an individual founder of the trust, each individual trustee, each individual beneficiary, all authorised representatives details on determination of beneficiaries if not specified.
- 2.7.4 A death certificate or certificate of deregistration where founder died or ceased to exist.
- 2.7.5 Document (less than 3 months old) showing residential address (e.g. utility bill, bank statement, tax invoice, Telkom account, payslip or municipal rates) for individual founder of the trust, each individual trustee, each individual beneficiary, all authorised representatives.
- 2.7.6 Applicable documentation refer to in 2.1.1 / 2.1.2 or 2.2.1 / 2.2.2 or 2.3.1 / 2.3.2 or 2.4.1 / 2.5.1 or 2.7.1 or any institutional beneficiaries, any institutional trustees or institutional founder of the trust, details on determination of beneficiaries if not specified.
- 2.7.7 Cancelled cheque or bank statement (less than 3 months old).

1. PERSONAL DETAILS *Please tick and complete where applicable*

Title

Full names

Surname

Physical address

Postal address Postal code

Income tax reference number

Income tax office

ID number Date of birth

Registration number (CC/Company/Trust)

Does the Company have any shareholders with more than 25% of the voting rights at a general meeting (not applicable to companies listed on the JSE) YES NO
 If YES please complete Annexure A for each individual/institution holding more than 25%.

Telephone number (H) Resident

Telephone number (W) Non-resident SA emigrant

Cell phone number Country of Residence

E-mail

I consider myself to be, or to be associated with a Politically Exposed Person YES NO

If YES, please give details _____

2. BANK DETAILS *Account to be used for repurchases – (No payments will be made by cheque or to a third party)*

Account holder

Name of bank Branch code

Branch

Account number

Account type Current OR Savings

3. INVESTMENT PLAN *I/we select the following investment plan(s):*

PORTFOLIO NAME	MINIMUM LUMP SUM	MINIMUM MONTHLY	LUMP SUM*	MONTHLY	ABSA BRANCH CODE 31 21 09
Contego B1 Income Plus Fund	R5 000	R500	R	R	Acc. No. 407 838 3224
Contego B2 Protected Income Fund	R5 000	R500	R	R	Acc. No. 406 414 1965
Contego B3 Protected Balanced Portfolio	R5 000	R500	R	R	Acc. No. 406 414 1892
Contego B5 Protected Portfolio	R5 000	R500	R	R	Acc. No. 406 221 1607
Contego B6 Protected Growth Plus Fund	R5 000	R500	R	R	Acc. No. 407 838 3541

* Please note that no cash will be accepted. Cash can be paid directly into the bank account provided.

*Please make cheques payable to portfolio. Cheques can be posted with the application form or deposited directly into the bank account of the portfolio. In case of lump sums, please fax through copy of bank deposit slip and post original or certified copy with the application form.

Source of funds

SARB exchange control classification (indicate with an 'X') - will be regarded as retail if not indicated: Retail Institutional

PRINT SIGN

Signature of the investor

8. DECLARATION CONTINUE

9. I/We hereby permit Metropolitan Collective Investments Limited and/or Contego Asset Management Pty (Ltd) to conduct any necessary investigation to verify that the information and documentation included in this application are correct and in the case where such investigation results in conflicting information, I understand that Metropolitan Collective Investments Limited and/or Contego Asset Management Pty (Ltd) is obliged to report the transaction as a suspicious transaction to the relevant authorities.
10. I/We hereby waive any claim, of whatsoever nature, that I/we may have against Metropolitan Collective Investments Limited in future relating to or arising from the investments, save insofar as it arises from any dishonesty, theft or gross negligence of the company's employees, agents or representatives.
11. I/We acknowledge that I/we have fully acquainted myself/ourselves of the fee and expense structure that are to be charged in respect of the investment from time to time.
12. I/We confirm that all information contained in this application form and the supplied documentation are true and correct and that full disclosure of all relevant facts known to me/us have been made. I/We acted in accordance with the fully complied laws applicable to me/us.
13. I/we do recognise that Metropolitan Collective Investments do not provide financial advice and that if such advice is required, that I/we have to consult a qualified financial advisor.

I/we hereby confirm that we have received the following information from my/our advisor and/or the manager before completing the application.

Investment objective*	Info on NAV*	Charges*	Risk factor*	Income accruals*								
PRINT	SIGN			Date <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;">D</td> <td style="width: 20px; height: 20px;">D</td> <td style="width: 20px; height: 20px;">M</td> <td style="width: 20px; height: 20px;">M</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y					
Signature of investor												

9. INCOME PLAN *If applicable*

I/We, the undersigned, hereby authorise Metropolitan Collective Investments Limited to transfer from my investment account R ON THE DAY OF EACH MONTH TO MY BANK ACCOUNT LISTED ABOVE.

10. INTRODUCER'S DETAILS *To be complete by the investor*

1. I DO NOT APPOINT A FINANCIAL ADVISOR: OR
 I APPOINT AS MY FINANCIAL ADVISOR(S).
 I hereby confirm that the individuals whose details are completed above, is my appointed Financial Advisor and I agree to the payment of fees as indicated in point 5 below.
2. I confirm that my financial advisor(s) is an approved investment manager/discretionary financial service provider, in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 ("FAIS"), with full discretion to manage my investments. I instruct Metropolitan Collective Investments Limited ("METCI") to accept instructions given by my financial advisor(s) on my behalf.
3. I instruct Metropolitan Collective Investments to provide my financial advisor(s) with a copy of my valuation statements. Yes No *TICK APPLICABLE BOX*
4. In consideration for the execution of the financial advisors' duties in respect of the investment(s), I acknowledge that the financial advisor(s) shall receive payment of such fees as are reflected in the application form or any variations thereof, which fees shall be charged against the investment(s).

5. INITIAL ADVICE FEE (EXCL VAT): REFER TO MAXIMUM FEE COST & CHARGES IN SECTION 11	MARK APPLICABLE BOX WITH "X"
1. 0%	
2. 0.5%	
3. 1.00%	
4. 1.50%	
5. 2.00%	
6. 3.00%	

Annual advice fee (excl VAT) % per annum of the market value of the investment portfolio, charged by way of unit reduction and paid to the Financial Advisor monthly in arrears. (Negotiable to maximum 1% exclusive of VAT. If initial advice fee greater than 1.00% is selected, then the maximum annual advice fee is 0.5%.) this annual advice fee is not part of the normal annual management fee charged by the relevant portfolios. This authority may be withdrawn by written notice to Metropolitan Collective Investments.

6. I expressly agree that I will have no claim or cause of action against Metropolitan Collective Investments in connection with my relationship with my financial advisor(s), this mandate, or for any other reason including the advice given to me by the financial advisor(s).
 I/we opt for option indicated above with an "X". I/we agree that participatory interest to the value of the indicated options' ongoing fee plus VAT be redeemed from my account on a monthly basis to be paid as an ongoing advisory fee to the financial advisor stated below.

SIGN AT ON THIS DAY OF YEAR

SIGNATURE OF INVESTOR	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>
	PRINT	SIGN
NAME OF LEGAL GUARDIAN <i>(Where applicable)</i>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>
	PRINT	SIGN

TO BE COMPLETE BY THE FINANCIAL ADVISOR:

CONTACT NAME																													
COMPANY																													
REGISTRATION NUMBER																													
FSP LICENCE NUMBER																													
TELEPHONE															FAX NUMBER														
EMAIL																													

An "Accountable Institution" includes, but is not limited, to the following list of institutions: a Bank, Long-Term Insurer, Management Company registered in terms of the Collective Investment Schemes Control Act, a person who carries on the business of rendering investment advice or investment brokering services including a Public Accountant who carries on such a business, member of a stock broker exchange licensed under the Stock Exchanges Control Act 1985 and a person who has been approved or who falls within a category of persons approved by the Registrar of Stock Exchanges or the Registrar of Financial Markets. If this section is completed by an "Accountable Institution" acting on behalf of the client, the supporting documentation on page 1/2 need to be submitted to Metropolitan Collective Investments. Metropolitan Collective Investments may however request it where it is deemed necessary.

- I/we
- declare that I/we am/are licensed Financial Services Provider(s) and have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, No. 37 of 2002, and subordinate legislation thereto, to the Metropolitan Collective Investments
 - acknowledge and confirm that, in my/our capacity as the primary accountable institution with Metropolitan Collective Investments being the secondary accountable institution, I/we have established and verified the identity of the client in accordance with Section 21 of the Financial Intelligence Act, 2001 ("the Act"), and I/we will keep records of such identification and verification according to the provisions of sections 22 of the Act.
 - Warrant that I/we have explained all fees that relate to this investment to the investor and I/we understand and accept that the investor may withdraw his/her authority for payment to me/us in writing to Metropolitan Collective Investments.
 - I hereby confirm that I/we RECEIVE* DON'T RECEIVE additional income from the manager, the distributor and/or the portfolio manager.

* if, the FSP is deemed to be a related party and the nature and extent of the said income is

SIGN AT ON THIS DAY OF YEAR

SIGNATURE OF FINANCIAL ADVISOR
(who accepts his/her appointment)

11. FAIS CONFLICT OF INTEREST DISCLOSURE

Please note that in most cases where the FSP is a related party to Contego Asset Management Pty (Ltd) and/or Metropolitan, Contego Asset Management Pty (Ltd) and/or distributor earns additional fees apart from the FSP's client advisory fees. It is the FSP's responsibility to disclose additional fees to you as the client. Such fees are paid out of the portfolio's service charge and range anything between (excl VAT):

CIS Manager	Up to 0.35%
Contego Asset Management	Up to 0.75%* Up to 1.00%**
Distribution	0.00%
LISP Rebate	Up to 0.25%
Performance Fee	100% accrue to portfolio manager **
Total Service Charge	1.00% * 1.25% **

* Contego B1 Income Plus Fund, Contego B2 Protected Income Fund and Contego B6 Growth Plus Fund
** Contego B3 Protected Balanced Fund and Contego B5 Protected Equity Portfolio

11. MAXIMUM COST & CHARGES (Vat inclusive)

PORTFOLIO NAME	Manager's Charge*	Initial Advice Fee	Ongoing Service Charge	Risk
Contego B1 Income Plus Fund	0.00%	3.42%	1.14%	Conservative
Contego B2 Protected Income Fund	0.00%	3.42%	1.14%	Conservative/Moderate
Contego B3 Protected Balanced Fund*	0.00%	3.42%	1.43%	Moderate
Contego B5 Protected Equity Portfolio*	0.00%	3.42%	1.43%	Moderate/Aggressive
Contego B6 Growth Plus Fund**	0.00%	3.42%	1.14%	Aggressive

The investor pays for the value of the ruling underlying investments as on the day the investment deposit is received after deduction of the following manager's charges, where applicable: (refer note 7.1):

Service Charge	An ongoing service charge is levied daily on the market value of the portfolios.
Performance Fee	* 20% (Sharing rate) of any out performance above the benchmark (hurdle rate) will be levied on high watermark basis, capped at 1.75% p.a (excl. VAT). ** 20% (Sharing rate) of any out performance above the benchmark (hurdle rate) will be levied on high watermark basis, capped at 2.00% p.a (excl. VAT).

